



Making a complaint

Policy statement

Southwell Smiley faces believes that children and parents are entitled to expect courtesy and prompt, careful attention to their needs and wishes. Southwell Smiley faces welcome suggestions on how to improve our setting and will give prompt and serious attention to any concerns about the running of Southwell Smiley Faces. Southwell Smiley Faces anticipate that most concerns will be resolved quickly by an informal approach to the appropriate member of staff. If this does not achieve the desired result, we have a set of procedures for dealing with concerns. Southwell Smiley Faces aim to bring all concerns about the running of our setting to a satisfactory conclusion for all of the parties involved.

Procedures

Southwell Smiley Faces are required to keep a 'summary log' of all complaints that reach stage two or beyond. This is to be made available to parents as well as to Ofsted inspectors

Making a complaint

Stage 1

- Any parent who has a concern about an aspect of Southwell Smiley Faces provision talks over, first of all, his/her concerns with the Manager Jenny Whyton.
- Most complaints should be resolved amicably and informally at this stage.

Stage 2

- If this does not have a satisfactory outcome, or if the problem recurs, the parent moves to this stage of the procedure by putting the concerns or complaint in writing to the Manager and the chair of the management committee.
- For parents who are not comfortable with making written complaints, there is a template form for recording complaints in the summary complaints records ; the form may be completed with the person in charge and signed by the parent.
- Southwell Smiley Faces stores written complaints from parents in the child's personal file. However, if the complaint involves a detailed investigation, the manager may wish to store all information relating to the investigation in a separate file designated for this complaint.
- When the investigation into the complaint is completed, the setting leader or manager meets with the parent to discuss the outcome.
- Parents must be informed of the outcome of the investigation within 28 days of making the complaint.
- When the complaint is resolved at this stage, the summative points are logged in the Complaints Summary Record.

Stage 3

- If the parent is not satisfied with the outcome of the investigation, he or she requests a meeting with the Manager and the chair of the management committee. The parent should have a friend or partner present if required and the manager should have the support of the chairperson of the management committee present.
- An agreed written record of the discussion is made as well as any decision or action to take as a result. All of the parties present at the meeting sign the record and receive a copy of it.
- This signed record signifies that the procedure has concluded. When the complaint is resolved at this stage, the summative points are logged in the Complaints Summary Record.

Stage 4

- If at the stage three meeting the parent and Southwell Smiley Faces cannot reach agreement, an external mediator is invited to help to settle the complaint. This person should be acceptable to both parties, listen to both sides and offer advice. A mediator has

no legal powers but can help to define the problem, review the action so far and suggest further ways in which it might be resolved.

- A member of the Early Years Team would be invited to act as mediator. The mediator keeps all discussions confidential. S/he can hold separate meetings with the setting personnel (manager and chair of the management committee) and the parent, if this is decided to be helpful. The mediator keeps an agreed written record of any meetings that are held and of any advice s/he gives.

Stage 5

- When the mediator has concluded her/his investigations, a final meeting between the parent, the manager and the chair of the management committee is held. The purpose of this meeting is to reach a decision on the action to be taken to deal with the complaint. The mediator's advice is used to reach this conclusion. The mediator is present at the meeting if all parties think this will help a decision to be reached.
- A record of this meeting, including the decision on the action to be taken, is made. Everyone present at the meeting signs the record and receives a copy of it. This signed record signifies that the procedure has concluded.

The role of the Office for Standards in Education, Children's Services and Skills (Ofsted) and the Local Safeguarding Children Board

- Parents may approach Ofsted directly at any stage of this complaints procedure. In addition, where there seems to be a possible breach of the setting's registration requirements, it is essential to involve Ofsted as the registering and inspection body with a duty to ensure the Welfare Requirements of the Early Years Foundation Stage are adhered to.
- The number to call Ofsted with regard to a complaint is:

0300 123 4666

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- These details are displayed on Southwell Smiley Faces notice board in the cloakroom.
 - If a child appears to be at risk, Southwell Smiley Faces follows the procedures of the Local Safeguarding Children Board in our local authority.

- In these cases, both the parent and Southwell Smiley Faces are informed and the manager works with Ofsted or the Local Safeguarding Children Board to ensure a proper investigation of the complaint, followed by appropriate action.

Records

- A record of complaints against Southwell Smiley Faces and/or the children and/or the adults working in Southwell Smiley Faces is kept, including the date, the circumstances of the complaint and how the complaint was managed.
- The outcome of all complaints is recorded in the Summary Complaints Record which is available for parents and Ofsted inspectors on request.

This policy is applicable to both Pre-School and Out of School Club